

**PRIME CONTRACTOR DISADVANTAGED BUSINESS ENTERPRISE (DBE)
COMPLIANCE STATEMENT****CONTRACTOR NAME:** _____**GRANT/LOAN RECIPIENT NAME:** _____**CONTRACT NUMBER:** _____

Date of Initial Solicitation: _____ Date of Follow-up Solicitation: _____

Date of Search: _____ Counties Searched: _____

Solicited by ☐ email ☐ fax**Please submit the following items, along with this form, to the Grant/Loan recipient.**

- ☐ Grant/Loan recipient has been provided copies of all documentation that supports the direct search for and solicitation of potential participation of DBE firms in the competitive process to be retained on file for review. This includes the documentation related to web searches, contact correspondence, and contact logs.
- ☐ Copies of any quotes received from DBE firms in response to solicitation efforts are attached.
- ☐ Contract Procurement Breakdown Form

As the owner for the above-named company, I certify that the six good faith efforts have been performed in conformance with DBE regulations 40 CFR 33.301. All efforts have been properly documented as outlined in the DBE Guidance Document. All documentation has been supplied to the above-named grant/loan recipient to be retained in accordance with applicable record retention requirements under the financial assistance agreement for the above-named project. I understand that such documentation is subject to an audit review by the US Environmental Protection Agency or the Pennsylvania Department of Environmental Protection, Division of Municipal Facilities to further ensure compliance. I understand that by signing this statement that the DBE regulations 40 CFR 33.105 include compliance and enforcement provisions to which the grant/loan recipient and sub-recipients are subject. I understand by signing this statement that the DBE regulations 40 CFR 33.302 include additional contract administrative requirements that I must comply with.

Signature of Owner_____
Date_____
Printed Name of Owner**MAIL/EMAIL/FAX TO:** Grant/Loan recipient